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TRANSMITTAL FORM


(to be used for all correspondence after initial filing)
Total Number of Pages in this Submission **7**

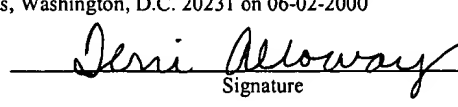
Application Number: **09/484,549**
Filing Date: **01-18-2000**
First Named Inventor: **Van Dyke, et al.**
Group Art Unit: **2755**
Examiner:
Attorney Docket No.: **0100.9901080**

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Affidavits/Declaration(s) | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input checked="" type="checkbox"/> Request for Corrected Filing Receipt |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Letter to Draftsperson |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input type="checkbox"/> Formal Drawings (after initial filing) |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Request for Corrected Assignment |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Request for Refund | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> After Allowance Communication to Group | Change of Correspondence |

Remarks:

Firm Name	Markison & Reckamp, P.C. 115 Wild Basin Road, Suite 107 Austin, Texas 78746	
Signature of Applicant, Attorney, or Agent		
Name and Registration No.:	Ross D. Snyder, Reg. No. 37,730	Date: 06-02-00

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 06-02-2000	
Terri Alloway Typed or Printed Name	 Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Van Dyke, et al.

Title: METHOD AND APPARATUS FOR DYNAMIC ALLOCATION
OF PROCESSING RESOURCES

App. No.: 09/484,549

Filed: 01-18-2000

Examiner: Unknown

Group Art Unit: 2755

Atty. Dkt. No. 0100.9901080

Application Processing Division
Customer Correction Branch
Assistant Secretary in Connection with
Patents and Trademarks
U.S. Patent & Trademark Office
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Please make the indicated corrections as shown on the enclosed Filing Receipt. First named inventor Van Dyke's name should be **KORBIN VAN DYKE** as evidenced by the attached copy of the Declaration. Additionally, please correct the Attorney Docket Number from **01000.9901080** to **0100.9901080** as evidenced by the Declaration. Please contact me at the below-listed telephone number if you have any questions or need additional information.

Respectfully submitted,

Date

02 June 2000Ross D. Snyder

Ross D. Snyder, Reg. No. 37,730
Attorney for Applicant(s)
Markison & Reckamp, P.C.
115 Wild Basin Road, Suite 107
Austin, Texas 78746
(512) 347-9223 (phone)
(512) 347-9224 (fax)

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CORRECTED FILING RECEIPT



OC00000005041728

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/484,549	01/18/2000	2755	690	01000.9901080	10	14	2

Markison & Reckamp, P.C.
175 West Jackson Boulevard-Suite 1015
Chicago, IL 60604

COPY

Date Mailed: 04/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Van Dyke Korbin, Sunol, CA ;
 Paul Campbell, Oakland, CA ;
 Don Van Dyke, Sunol, CA ;
 Ali Alasti, Los Altos, CA ;
 Stephen C. Purcell, Mountain View, CA ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/05/2000

**

Title

Method and apparatus for dynamic allocation of processing resources

Preliminary Class

709

Data entry by : BLIZZARD, SHARON

Team : OIPE

Date: 04/11/2000

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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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**DECLARATION
FOR UTILITY OR DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

- ☒ Declaration Submitted with Initial Filing, OR
☐ Declaration Submitted after Initial Filing
(surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 0100,9901080
First Named Inventor Korbin Van Dyke
COMPLETE IF KNOWN
Application Number
Filing Date
Group Art Unit
Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD AND APPARATUS FOR DYNAMIC ALLOCATION OF PROCESSING RESOURCES the specification of which:

- ☒ is attached hereto.
☐ was file on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- ☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

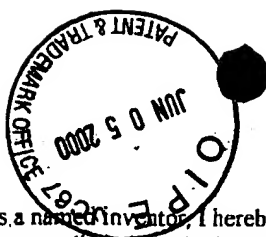
- ☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

- ☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Timothy W. Markison	33,534	Christopher J. Reckamp	34,414
Paul M. Anderson	39,896	Sally Daub	41,478
J. Gustav Larson	39,263	Ross D. Snyder	37,730
Jeffrey D. Jacobs	40,029		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:

Markison & Reckamp, P.C.
175 West Jackson Boulevard - Suite 1015
Chicago, Illinois 60604
Telephone: 312-939-9800
Facsimile: 312-939-9828

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Korbin		Van Dyke	
Inventor's Signature	<i>[Signature]</i>	Date	12/16/99
Residence	City: Sunol	State: CA	Country: USA
Post Office Address	3343 Little Valley Rd.		
City: Sunol	State: CA	ZIP: 94586	Country: USA

Name of Additional Joint Inventor:

☐ A petition has been filed for this unsigned inventor

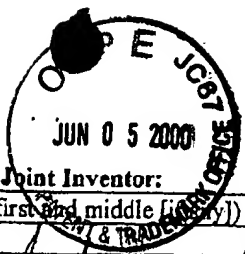
Given Name (first and middle [if any])		Family Name or Surname	
Paul		Campbell	
Inventor's Signature	<i>[Signature]</i>	Date	6/23/99
Residence	City: Oakland	State: CA	Country: USA
Post Office Address	6652 Dana Street		
City: Oakland	State: CA	ZIP: 94609	Country: USA

Name of Additional Joint Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Don		Van Dyke	
Inventor's Signature	<i>[Signature]</i>	Date	1/4/00
Residence	City:	State:	Country:
Post Office Address			
City:	State:	ZIP:	Country:

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Name of Additional Joint Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Ali		Alasti	
Inventor's Signature	Date		
<i>[Signature]</i>	12/23/99		
Residence	City: Los Altos	State: CA	Country: USA
Citizenship: USA			
Post Office Address 1566 Siesta Drive			
City: Los Altos		State: CA	ZIP: 95024
Country: USA			

Name of Additional Joint Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Stephen C.		Purcell	
Inventor's Signature	Date		
<i>[Signature]</i>	12/17/99		
Residence	City: Mountain View	State: CA	Country: USA
Citizenship: USA			
Post Office Address 365 Preston Drive			
City: Mountain View		State: CA	ZIP: 94040
Country: USA			

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB-02A attached hereto.